

Docket No.: SIROS-99027A

SECTOR 1/14/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Art Unit: 2753  
)  
Thornton, et al. ) Examiner:  
)  
Serial No.: 09/495,552 )  
)  
Filed: February 1, 2000 )  
)  
For: NEAR-FIELD OPTICAL HEAD )  
SYSTEM WITH INTEGRATED SLIDER )  
AND LASER )

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

on 4-25-00  
Date

Teri Muir  
Teri Muir

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Honorable Assistant Commissioner  
for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated April 12, 2000, please find enclosed herewith:

1. Copy of the Assignment executed by the inventors;
2. Declaration and Power of Attorney executed by the inventors;
3. Verified Statement Claiming Small Entity Status

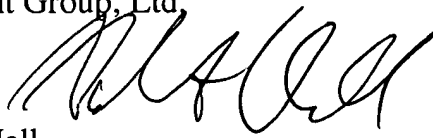
4. Check in the amount of \$623.00 for filing fee and surcharge for assignee as a small entity calculated as follows:

Basic filing fee	\$345.00
15 additional claims in excess of twenty	\$135.00
Independent Claims in excess of 3:	\$ 78.00
Surcharge	\$ <u>65.00</u>
Total	\$623.00

5. Copy of Notice to File Missing Parts.

In the event any variance exists between the amount enclosed and the patent office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-0612. An additional copy of this page is enclosed.

Respectfully submitted,  
Sierra Patent Group, Ltd.



Robert C. Hall  
Reg. No.: 39,209

Dated:

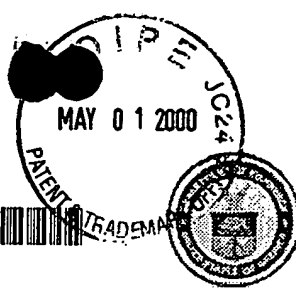
04/25/00

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## FORMALITIES LETTER



\*OC00000005044533\*

UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/495,552	02/01/2000	Robert L. Thornton	SIROS-99027A

Robert C Hall  
Serra Patent Group Ltd  
P O Box 6149  
Stateline, NV 89449

Date Mailed: 04/12/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$426.
  - \$270 for 15 total claims over 20.
  - \$156 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1246.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2000 KZWDIE 00000099 09495552  
01 FC:201 345.00 0P  
02 FC:202 78.00 0P  
03 FC:203 135.00 0P  
04 FC:205 65.00 0P  
4/11/00 4:06 PM